

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 1007-354/ Beiersdorf 750-KGB
INTERNATIONAL APPLICATION NO. PCT/EP00/04938	INTERNATIONAL FILING DATE 30 May 2000 (30.05.00)	U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 09/980388
TITLE OF INVENTION Use of ascorbic acid and one or more flavone derivatives and/or flavanone derivatives, in particular flavonoids for the preparation of cosmetic or dermatological preparations for the prophylaxis or alleviation of sunburn		
APPLICANT(S) FOR DO/EO/US Inge KRUSE, Frank RIPPKE, and Uwe SCHONROCK		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none">1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.4. <input checked="" type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (Article 31).5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2))<ol style="list-style-type: none">a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).<ol style="list-style-type: none">a. <input checked="" type="checkbox"/> is attached hereto.b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))<ol style="list-style-type: none">a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).b. <input type="checkbox"/> have been communicated by the International Bureau.c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.d. <input type="checkbox"/> have not been made and will not be made.8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).		
Items 13 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none">13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.15. <input checked="" type="checkbox"/> A FIRST preliminary amendment.16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.17. <input type="checkbox"/> A substitute specification.18. <input type="checkbox"/> A change of power of attorney and/or address letter.19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.20. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).21. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).22. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail23. <input checked="" type="checkbox"/> Other items or information:		
Copy of WO 00/74641 A1		

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.492(e)) 09/980388	INTERNATIONAL APPLICATION NO. PCT/EP00/04938	ATTORNEY'S DOCKET NUMBER 100718-354/Beiersd rf 7
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24. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

- | | |
|--|-----------|
| <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO | \$1040.00 |
| <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO | \$890.00 |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO | \$740.00 |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) | \$710.00 |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) | \$100.00 |

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$890.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

\$0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	10 - 20 =	0	x \$18.00	\$0.00
Independent claims	1 - 3 =	0	x \$84.00	\$0.00
Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>	\$0.00

TOTAL OF ABOVE CALCULATIONS =

\$890.00

<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.	<input type="checkbox"/>	\$0.00
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SUBTOTAL =

\$890.00

Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).	<input type="checkbox"/>	<input type="checkbox"/>	\$0.00
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TOTAL NATIONAL FEE =

\$890.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).	<input type="checkbox"/>	\$0.00
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TOTAL FEES ENCLOSED =

\$890.00

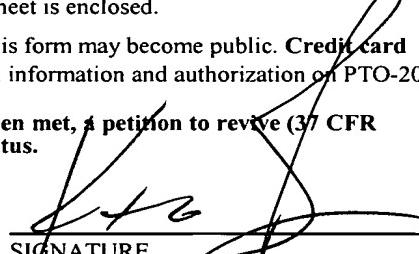
Amount to be: refunded	\$
charged	\$

- a. A check in the amount of _____ to cover the above fees is enclosed.
- b. Please charge my Deposit Account No. 14-1263 in the amount of \$890.00 to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1263. A duplicate copy of this sheet is enclosed.
- d. Fees are to be charged to a credit card. **WARNING: Information on this form may become public. Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Norris McLaughlin & Marcus
220 East 42nd Street
30th Floor
New York, New York 10017
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SIGNATURE

Kurt G. Briscoe

NAME

33,141

REGISTRATION NUMBER

11-30-2001

DATE

08/980388
JC10 Rec'd PCT/PTO 30 NOV 2001

BEIERSDORF 750-KGB
6713-Wihd-99-61

APPLICANT: INGE KRUSE ET AL

TITLE: USE OF ASCORBIC ACID AND ONE MORE FLAVONE DERIVATIVES AND/OR FLAVANONE DERIVATIVES, IN PARTICULAR FLAVONOIDS FOR THE PREPARATION OF COSMETIC OR DERMATOLOGICAL PREPARATIONS FOR THE PROPHYLAXIS OR ALLEVIATION OF SUNBURN

INTERNATIONAL APPLICATION NO.: PCT/EP00/04938

EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number EV 015940591 US

Date of Deposit November 30, 2001

I hereby certify that the following items:

1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371 in duplicate - **Charge Deposit Account**
2. Copy of WO 00/74641
3. Copy of the International Search Report
4. Information Disclosure Statement
5. Form PTO 1449
 - a. Copy of Patent Abstracts of Japan 11124341
 - b. Copy of Patent Abstracts of Japan 05279225
 - c. Copy of Patent Abstracts of Japan 07157494
 - d. Copy of Patent Abstracts of Japan 11222412
 - e. Copy of US Patent No. 5,882,658
 - f. Copy of US Patent No. 5,843,411
 - g. Copy of US Patent No. 5,409,693
 - h. Copy of DE 42 43 363 A1
 - i. Copy of DE 42 27 806 A1
 - j. Copy of DE 43 39 486 A1
 - k. Copy of FR 2 666 226 A1
 - l. Copy of WO 98/47534
 - m. Copy of FR 2 723 316 A1
 - n. Copy of WO 96/18379
 - o. Copy of DE 198 07 774 A1
6. Preliminary Amendment
7. Copy of the Specification consisting of Description (pages 1-24); Examples (pages 25-29); 10 Claims (pages 30-31; Abstract (last page).
8. Return Receipt Postcard

are being deposited with the United States Postal Services "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the: United States Patent and Trademark Office, P.O. Box 2327, Box PCT, Arlington, Virginia 22202.

NORRIS, McLAUGHLIN & MARCUS, P.A.

By

